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| Subject: | Strategic Risk Register Review 2014 -15 and Risk Management Action Plans – Updated May 2014 | | |
| Date of Meeting: | 24 June 2014 | | |
| Report of: | Executive Director Finance & Resources | | |
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| Ward(s) affected: | All | | |

FOR GENERAL RELEASE

1. PURPOSE OF REPORT AND POLICY CONTEXT

- 1.1 The Audit & Standards Committee has a role to monitor and form an opinion on the effectiveness of risk management and internal control. As part of discharging this role, it reviews the Strategic Risk Register, recently updated by the Executive Leadership Team (ELT) as attached at Appendix 1.
- 1.2 The Strategic Risk Assessment Report at Appendix 2, provides further details on the actions taken (mitigating controls) and planned actions ('solutions') to manage each strategic risk.

2. RECOMMENDATIONS:

- 2.1 That the Audit & Standards Committee notes the revised Strategic Risk Register (Appendix 1).
- 2.2. That the Audit & Standards Committee notes the Risk Management Action Plans or "Risk MAPs" contained in the Strategic Risk Assessment Report May 2014 (Appendix 2).

3. CONTEXT/ BACKGROUND INFORMATION

- 3.1 The Strategic Risk Register details the current prioritised issues which may affect the achievement of the council's priorities, including in relation to its work with other organisations across the city. It is reviewed and agreed by the ELT and reviewed every six months (usually May and November).
- 3.2 The Strategic Risk Register provides evidence of a risk aware and risk managed organisation. Generally, it reflects risk scenarios that will be common to comparable local authorities and considers how these relate specifically to the city council.
- 3.3 Summary of the main changes made to the Strategic Risk Register:

There are 12 strategic risks in total.

As risks are managed, the unique risks may be removed from the Strategic Risk Register and, in that case, a gap in sequential numbering will arise.

3 NEW RISKS

- SR19 – Implementation of the Care Act (note: this was previously part of SR16 Health & Social Care Modernisation/Integration)
- SR20 – Better Care Fund (note: this was previously part of SR16 Health & Social Care Modernisation/Integration)
- SR21 – Housing Pressures

2 REMOVED RISKS

- SR11 Welfare Reform. Risk removed as the council has put in place short-term mitigation measures to manage the impact of Welfare Reform at this time and has incorporated ongoing work as part of its usual operating procedures and plans. The council's Welfare Board continues to monitor the impact of reforms on an ongoing basis. It is possible that as more is known about the rollout of universal credit, it could come back onto the Strategic Risk Register;
- § SR14 Pay and Allowances Modernisation. Risk removed as pay and allowances modernisation has been implemented and achieved.

1 REMOVED and REPLACED RISK

- § SR16 Health & Social Care Modernisation/Integration – now split into SR19 and SR20 as above

THE REMAINING 9 RISKS HAVE NO CHANGE TO RISK SCORE but have been updated to reflect changes

- SR2 Financial Outlook
- SR4 Economic Resilience
- SR8 Becoming a more Sustainable City
- SR10 Information Governance Management
- SR12 Maintaining Seafront as an asset to the city
- SR13 Keeping vulnerable adults safe from harm and abuse
- SR15 Keeping children safe from harm and abuse
- SR17 School Places Planning
- SR18 Effective use of technology

- 3.4 Strategic Risk Management Action Plans for all Strategic Risks have been updated following ELT's review and are reported as Appendix 2 to this report. Please note the change on the Solutions field at the end of each Risk MAP which reflects the newly formed Performance & Improvement Team's focus on taking Risk

Action. This will be incorporated in regular performance reporting procedures across the council.

4. ANALYSIS & CONSIDERATION OF ANY ALTERNATIVE OPTIONS

- 4.1 Consultation has taken place with the council's Executive Leadership Team, the Corporate Management Team and representatives of all the political parties.
- 4.2 The Strategic Risk Register will be sent to the City Management Board partners for information, which reflects the city wide performance & risk management approach.

5. FINANCIAL & OTHER IMPLICATIONS

Financial Implications:

- 5.1 The Strategic Risk Register reflects a number of risks which have potential significant financial implications for the authority either directly or indirectly. The risk owners are responsible for overseeing the effective management of the risks through the Risk Management Action Plans and for highlighting financial risks through the budget monitoring process and budget strategy development.

Finance Officer Consulted: James Hengeveld Date: 06/06/14

Legal Implications:

- 5.2 This report comes before Audit & Standards Committee in order for the Committee to discharge its function of providing independent assurance of the adequacy of the council's risk management and associated control environment. Having reviewed the latest Strategic Risk Register and the Risk Management Action Plans or "Risk MAPs" contained in the Strategic Risk Assessment Report, the Committee may, if it considers it appropriate, make recommendations to Full Council, Policy & Resources Committee, one or more officers or another relevant body in the council.

Lawyer Consulted: Oliver Dixon Date: 06/06/14

Equalities Implications:

- 5.3 There are no direct equalities implications. Equalities will be incorporated as appropriate across all Strategic Risks and Risk MAPs by the officers responsible for taking actions.

Sustainability Implications:

- 5.4 The risk management methodology includes identification and management of sustainability issues. There is a specific Strategic Risk, SR 8, which relates to Sustainability. However, Sustainability will be incorporated as appropriate across all Strategic Risks and Risk MAPs.

Any Other Significant Implications:

5.5 None.

SUPPORTING DOCUMENTATION

Appendices:

1. Strategic Risk Register 2014/15 – reviewed by ELT 28 May 2014.
2. Strategic Risk Assessment Report – June 2014.

Documents in Members' Rooms

1. None.

Background Documents

1. None.